

## REGULATORY DISCLOSURES MODULE

This Module to the Terms of Business forms an integral part of, and must be read in conjunction with, the Terms of Business.

### **Societe Generale S.A.**

Societe Generale is a French credit institution (bank) authorised and supervised by the European Central Bank (“**ECB**”) and the Autorité de Contrôle Prudentiel et de Résolution (the French Prudential Control and Resolution Authority) (“**ACPR**”) and regulated by the Autorité des Marchés Financiers (the French financial markets regulator) (“**AMF**”) and subject to limited regulation for its activities in and from Sweden by Finansinspektionen, the Swedish Financial Supervisory Authority (“**SFSA**”) whose registered office is at Brunnsgatan 3, 103 97 Stockholm.

Societe Generale London Branch is authorised by the Prudential Regulation Authority (“**PRA**”) with deemed variation of permission and is subject to regulation by the Financial Conduct Authority (“**FCA**”) and limited regulation by the PRA. Details of the Temporary Permissions Regime, which allows EEA-based firms to operate in the UK for a limited period while seeking full authorisation, are available on the FCA’s website.

Details about the extent of our authorisation and regulation by the ACPR, AMF, PRA and SFSA, and regulation by the FCA and PRA are available from us on request.

Societe Generale’s registered office is 29 boulevard Haussmann, 75009 Paris, France and its principal place of business is Immeuble Basalte, Cours Valmy, 92 987 Paris La Défense. Societe Generale, London Branch’s principal place of business is One Bank Street, London E14 4SG, United Kingdom. Societe Generale SA Bankfilial Sverige, Societe Generale’s Swedish Branch’s place of business is Hamngatan 2, 5th floor, 111 47 Stockholm, Sweden with registration number 516406-1052, as entered into the register over branches to foreign banks by the Swedish Companies Registration Office. Societe Generale’s Zurich Branch’s principal place of business is Talacker 50, CH-8021 Zurich, Switzerland.

Societe Generale is member of the French Fonds de Garantie des Dépôts et de Résolution (“**Deposit Guarantee Fund**”), which protects clients’ investments and related cash under the following conditions. In the event of default of Societe Generale, an underlying client may benefit from two protections provided by the Deposit Guarantee Fund: (i) a guarantee corresponding to the value of the securities deposited with Societe Generale that become unavailable (up to €70,000 per underlying client); and (ii) protection for the cash deposits held in the accounts (up to €100,000 with all deposits per underlying client). The Deposit Guarantee Fund's intervention is subject to certain conditions, available, along with other rules:

- (a) by Internet: [www.garantiedesdepots.fr](http://www.garantiedesdepots.fr); or
- (b) by contacting the Deposit Guarantee Fund at: 65, rue de la Victoire - 75009 Paris - FRANCE (tel: +33 1 58 18 38 08; fax: + 33 1 58 18 38 00; e-mail: [contact@garantiedesdepots.fr](mailto:contact@garantiedesdepots.fr)).

Eligible deposits with Societe Generale London branch are subject to the protection of the UK Financial Services Compensation Scheme (“**FSCS**”) and not the Deposit Guarantee Fund. The FSCS is only available to certain types of claimants and claims. Payments to eligible claimants under the FSCS will vary depending on the type of protected claim (for example deposits or investments) the claimants hold with respect to the relevant institution. Payments under the FSCS in respect of investments are subject to a maximum payment to any eligible investor of GBP 50,000. Payments under the FSCS in respect of deposits are subject to a maximum payment to any eligible depositor of

GBP 85,000. Further details of the FSCS are available on request or at the FSCS's official website at [www.fscs.org.uk](http://www.fscs.org.uk).

### **Societe Generale International Limited ("SGIL")**

SGIL is a UK based MiFID Investment Firm authorised and regulated by the FCA - Reference number: 538896. SGIL is a wholly owned subsidiary of Societe Generale S.A. SGIL's registered office and principal place of business is at One Bank Street, London, E14 4SG, United Kingdom.

### **SG Securities (HK) Limited**

SGS HK is licensed with the SFC for dealing in securities, dealing in futures contracts, advising on securities, advising on futures contracts and providing automated trading services, pursuant to the SFO.

SGS HK is also: (i) a Participant (Participant ID: 01121), a China Connect Exchange Participant (Participant ID: 01121) and an Options Trading Exchange Participant (HKATS Customer Code: SGS) of SEHK; (ii) a General Clearing Participant (DCASS Customer Code: CSGS) of The SEHK Options Clearing House Limited; (iii) a Direct Clearing Participant (Participant ID: B01121) and a China Connect Clearing Participant (Participant ID: B01121) of HKSCC; (iv) a General Clearing Participant (DCASS Customer Code: CSGS) of HKFE Clearing Corporation Limited; and (v) a Futures Commission Merchant of the HKFE (HKATS Customer Code: SGS).

SGS HK's registered office and principal place of business is at Level 38 Three Pacific Place, 1 Queen's Road East, Hong Kong.