

## REGULATORY DISCLOSURES MODULE

This Module to the Terms of Business forms an integral part of, and must be read in conjunction with, the Terms of Business.

### Societe Generale

Societe Generale is a French credit institution (bank) which is authorised by the ACPR; controlled by the ACPR and the AMF; regulated for the conduct of its activity in and from the UK by the PRA; subject to limited regulation for its activities in and from the UK by the FCA and the PRA; and subject to limited regulation for its activities in and from Sweden by Finansinspektionen, the Swedish Financial Supervisory Authority whose registered office is at Brunnsgratan 3, 103 97 Stockholm.

Details about the extent of our authorisation and regulation by the ACPR, AMF, PRA and SFSA, and regulation by the FCA are available from us on request.

Societe Generale's financial situation is supervised by European Central Bank.

Societe Generale's registered office is 29 boulevard Haussmann, 75009 Paris and its principal place of business is Immeuble Basalte, Cours Valmy, 92 987 Paris La Défense. Societe Generale, London Branch's principal place of business is SG House, 41 Tower Hill, London EC3N 4SG. Societe Generale SA Bankfilial Sverige, Societe Generale's Swedish Branch's place of business is Hamngatan 2, 5th floor, 111 47 Stockholm with registration number 516406-1052, as entered into the register over branches to foreign banks by the Swedish Companies Registration Office. Societe Generale's Zurich Branch's principal place of business is Talacker 50, CH-8021 Zurich.

Societe Generale is member of the French Fonds de Garantie des Dépôts et de Résolution ("**Deposit Guarantee Fund**"), which protects clients' investments and related cash under the following conditions. In the event of default of Societe Generale, an underlying client may benefit from two protections provided by the Deposit Guarantee Fund: (i) a guarantee corresponding to the value of the securities deposited with Societe Generale that become unavailable (up to €70,000 per underlying client); and (ii) protection for the cash deposits held in the accounts (up to €100,000 with all deposits per underlying client). The Deposit Guarantee Fund's intervention is subject to certain conditions, available, along with other rules:

- (a) by Internet: [www.garantiedesdepots.fr](http://www.garantiedesdepots.fr); or
- (b) by contacting the Deposit Guarantee Fund at: 65, rue de la Victoire - 75009 Paris - FRANCE (tel: +33 1 58 18 38 08; fax: + 33 1 58 18 38 00; e-mail: [contact@garantiedesdepots.fr](mailto:contact@garantiedesdepots.fr)).

In the event that Societe Generale, London branch is separately authorised by the PRA, it may need to become a member of the Scheme and apply the protections described immediately below in respect of SGIL.

## **Societe Generale International Limited**

SGIL is authorised and regulated by the FCA.

SGIL's registered office is at 10 Bishops Square, London, E1 6EG and its principal place of business is at Exchange House, 12 Primrose Street, London, EC2A 2EG.

SGIL is a member of the Financial Services Compensation Scheme (the "**Scheme**") in the UK. The Scheme is only available to certain types of claimants and claims. Payments to eligible claimants under the Scheme will vary depending on the type of protected claim (for example deposits or investments) the claimants hold with respect to the relevant institution. Payments under the Scheme in respect of investments are subject to a maximum payment to any eligible investor of GBP 50,000. Payments under the Scheme in respect of deposits are subject to a maximum payment to any eligible depositor of GBP 85,000. Further details of the Scheme are available on request or at the Scheme's official website at [www.fscs.org.uk](http://www.fscs.org.uk).

## **SG Securities (HK) Limited**

SGS HK is licensed with the SFC for dealing in securities, dealing in futures contracts, advising on securities, advising on futures contracts and providing automated trading services, pursuant to the SFO.

SGS HK is also: (i) a Participant (Participant ID: 01121), a China Connect Exchange Participant (Participant ID: 01121) and an Options Trading Exchange Participant (HKATS Customer Code: SGS) of SEHK; (ii) a General Clearing Participant (DCASS Customer Code: CSGS) of The SEHK Options Clearing House Limited; (iii) a Direct Clearing Participant (Participant ID: B01121) and a China Connect Clearing Participant (Participant ID: B01121) of HKSCC; (iv) a General Clearing Participant (DCASS Customer Code: CSGS) of HKFE Clearing Corporation Limited; and (v) a Futures Commission Merchant of the HKFE (HKATS Customer Code: SGS).

SGS HK's registered office and principal place of business is at Level 38 Three Pacific Place, 1 Queen's Road East, Hong Kong.